

Governance and Audit Committee

Meeting Venue

By Zoom

Meeting Date

Thursday, 18 April 2024

Meeting Time

10.00 am

For further information please contact

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County Hall
Llandrindod Wells
Powys
LD1 5LG

12.04.2024

Mae croeso i chi siarad yn Gymraeg neu yn Saesneg yn y cyfarfod.

Rhowch wybod pa iaith rydych am ei defnyddio erbyn hanner dydd, ddau ddiwrnod gwaith cyn y cyfarfod.

You are welcome to speak Welsh or English in the meeting.

Please inform us of which language you wish to use by noon, two working days before the meeting.

AGENDA

1.	APOLOGIES
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To receive apologies for absence.

2.	DISCLOSURES OF INTEREST
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To receive any disclosures of interest by Members relating to items to be considered at the meeting.

3.	MINUTES
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To authorise the Chair to sign the minutes of the previous meeting held as follows as a correct record: 1st March 2024.

(Pages 5 - 16)

Audit Wales Items

4.	ANNUAL AUDIT SUMMARY
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To receive and consider the Annual Audit Summary from Audit Wales.

(Pages 17 - 28)

5.	USE OF PERFORMANCE INFORMATION: SERVICE USER PERSPECTIVE AND OUTCOMES
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To receive and consider the Use of performance information: Service User Perspective and Outcomes report from Audit Wales.

(Pages 29 - 52)

SWAP Items

6.	RED DRAGON
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To receive and consider reports in relation to Red Dragon.

(Pages 53 - 84)

6.1. **Appendix A**

(Pages 85 - 94)

Corporate Items

7.	ANNUAL INFORMATION GOVERNANCE REPORT
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To receive and consider the Annual Information Governance Report.

(Pages 95 - 108)

Risk Deep Dive

8.	RISK DEEP DIVE - INFORMATION GOVERNANCE
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To receive and consider a presentation in relation to Risk Deep Dive; Information Governance – Non compliance with GDPR.

9.	RISK DEEP DIVE - CYBER SECURITY THREAT
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To receive and consider a presentation in relation to the Risk Deep Dive; Cyber Security Threat.

10.	ANTI MONEY LAUNDERING POLICY
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To receive and consider the Anti-Money Laundering Policy.

(Pages 109 - 126)

11.	FRAUD PROSECUTIONS AND SANCTIONS POLICY
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To receive and consider the Fraud Prosecutions and Sanctions Policy.

(Pages 127 - 136)

12.	Q3 TREASURY MANAGEMENT REPORT 2023/24
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To receive the Treasury Management Report for Quarter 3 2023/24.

(Pages 137 - 154)

13.	WORKING GROUPS
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1. Capital Working Group.
To receive and consider the Outcome report and Recommendations of the Capital Working Group.
2. Finance Panel Feedback.

(Pages 155 - 164)

14.	WORK PROGRAMME
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To consider the forward work programme (attached) and consider whether any additional items should be included.

(Pages 165 - 170)

15.	EXEMPT ITEMS
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To consider passing the following Resolution:

RESOLVE to exclude the public for the following item of business on the grounds that there would be disclosure to them of exempt information under category 3 of The Local Authorities (Access to Information) (Variation) (Wales) Order 2007).

The Monitoring Officer has determined that category 3 of the Access to Information Procedure Rules applies to the following item. His view on the public interest test (having taken account of the provisions of Rule 14.8 of the Council's Access to Information Rules) was that to make this information public would disclose information relating to the financial or business affairs of any particular person (including the authority holding that information).

These factors in his view outweigh the public interest in disclosing this information. Members are asked to consider these factors when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.

16.	CYBER SECURITY INCIDENT
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To receive and consider a report on a Cyber Security Incident.

(Pages 171 - 182)

17.	SUSTAINABLE POWYS UPDATE
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To receive a verbal update on Sustainable Powys.

Committee Reflection

Following the close of the meeting, the Committee is asked to take 5 to 10 minutes to reflect on today's meeting.

